

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 07-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

262416US0XPCT

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/517620

INTERNATIONAL APPLICATION NO.  
PCT/EP03/06356

INTERNATIONAL FILING DATE  
17 June 2003

PRIORITY DATE CLAIMED  
29 June 2002 (earliest)

TITLE OF INVENTION

PROCESS FOR THE TELOMERIZATION OF ACYCLIC OLEFINS

APPLICANT(S) FOR DO/EO/US

ROETTGER Dirk et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☒ Other items or information:

PCT/IB/304/Application Data Sheet/PCT/IB/308  
Notice of Priority/Cited References (2)/PTO-1449  
Amended Sheets (pages 20, 21 and 22)

Page 2 of 2

Docket No. 262416US0XPCT

IN RE APPLICATION OF: Dirk ROETTGER et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/06356

FILED: HEREWITH

FOR: METHOD FOR THE TELOMERISATION OF NON-CYCLIC OLEFINS

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: PCT Transmittal Letter/PCT/IB/304/English Translation of Specification/PCT/IB/308 Declaration/Information Disclosure Statement/PTO-1449/Cited References (2) International Search Report/Application Data Sheet/Notice of Priority Translation of Annexes to International Preliminary Examination Report Amended Sheets (pages 20, 21 and 22)/Credit Card Payment Form for \$1000.00

The Fee has been calculated as shown below:

| CLAIMS      | CLAIMS<br>REMAINING |                                                                      | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID | NO.<br>EXTRA<br>CLAIMS | RATE      | CALCULATIONS |
|-------------|---------------------|----------------------------------------------------------------------|-----------------------------------------|------------------------|-----------|--------------|
| TOTAL       | 7                   | MINUS                                                                | 7                                       | 0                      | x \$50 =  | \$0.00       |
| INDEPENDENT | 1                   | MINUS                                                                | 1                                       | 0                      | x \$200 = | \$0.00       |
|             |                     | <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS                   |                                         |                        | + \$360 = | \$0.00       |
|             |                     | TOTAL OF ABOVE CALCULATIONS                                          |                                         |                        |           | \$0.00       |
|             |                     | <input type="checkbox"/> Reduction by 50% for filing by Small Entity |                                         |                        |           | \$0.00       |
|             |                     | <input type="checkbox"/> Recordation of Assignment                   |                                         |                        | + \$40 =  | \$0.00       |
|             |                     | TOTAL                                                                |                                         |                        |           | \$0.00       |

- ☐ A check in the amount of \$0.00 is attached.
- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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